

**Notice of Allowability**

Application No.

09/864,714

Examiner

Steven H. Rao

Applicant(s)

PARANJPE ET AL.

Art Unit

2814

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 7/19/2005.
2. ☒ The allowed claim(s) is/are 1-6, 8-26 and 33-37.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |  |  |
|--|--|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)   | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input checked="" type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),<br>Paper No./Mail Date <u>06/29/2005</u> | 7. <input type="checkbox"/> Examiner's Amendment/Comment                               |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material                                 | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance   |
|  | 9. <input type="checkbox"/> Other _____.   |

### ***DETAILED ACTION***

#### ***Priority***

The application as currently filed does not claim priority from any previously filed Patent Application. Therefore the earliest available filling date is the U.S. filling date namely May 23, 2001.

#### ***Request For Continued Examination Application***

The request filed on 07/19/2005 for a Request For Continued Examination Application (RCE) under 37 CFR 1.1 14 based on parent Application No. 09/864,714 is acceptable and a RCE has been established. The request was entered and forwarded to the Examiner on July 19, 2005. An action on the RCE follows.

#### ***Amendment Status***

Applicants' have not filed any amendment with the RCE request .

Applicants' amendment filed on July 23, 2004 has been entered on August 03, 2004.

Therefore claims 1, 3,17 and 33 as currently amended and added claims 34 to 37 and claims 2,4-16 and 18-26 as previously recited are currently pending in the Application.

Non-elected claims 7 and 27-32 were previously cancelled.

#### ***Allowable Subject Matter***

Claims 1-6, 8-26 and 33-37are allowed.

The prior art taken either singularly or in combination fails to anticipate or fairly suggest the limitation of the dependent claims, in such manner that a rejection under 35

Art Unit: 2814

U.S.C. 102 or 103 would be proper. The prior art fails to teach a combination of all the claimed features as presented in independent claims, which include a method of forming a conformal film on a substrate, the method including the steps of heating the substrate to a temperature sufficiently low so that precursor adsorbed on the substrate is not thermally dissociated, then depositing a film of predetermined thickness on the substrate by performing a predetermined number of atomic layer deposition cycles in a processing chamber and then each atomic layer deposition cycle including dosing the substrate with a precursor to establish a monolayer of the precursor on the substrate then further dosing the substrate with a reactant to deposit an atomic layer deposition film, wherein the film, as deposited, generally has a tensile intrinsic stress; and then annealing the substrate and the film after a predetermined number of atomic layer deposition cycles to change the intrinsic stress in the film from tensile to compressive stress (claim 1) and the other independent claim 17 specifies the film to be aluminum oxide film.

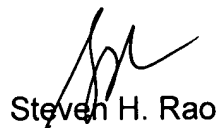
Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steven H. Rao whose telephone number is (571) 272-1718. The examiner can normally be reached on 8.00 to 5.00.

Art Unit: 2814

The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Steven H. Rao

Patent Examiner

September 15, 2005.



LONG PHAM  
PRIMARY EXAMINER